



## **PECB Certified ISO 37001 Lead Auditor**

**Develop the competencies to audit an ABMS against the criteria established by ISO 37001, based on the guidelines of ISO 19011 and requirements of ISO/IEC 17021-1**

### **Why should you take this training course?**

Bribery is a phenomenon that has plagued the private and public sector for a long time now. If this problem is not uprooted, economic development, or development in a general sense, is almost impossible. To address bribery and help organizations keep processes in check, ISO has published the ISO 37001 standard, which outlines the requirements for the implementation of an anti-bribery management system (ABMS). PECB training courses, on the other hand, provide you with the methodology – in a very practical sense – needed for implementing and auditing an ABMS.

The PECB Certified ISO 37001 Lead Auditor training course provides the necessary knowledge and skills that enable you to perform anti-bribery management system (ABMS) audits by applying widely recognized audit principles, procedures, and techniques.

Many organizations seek competent auditors to determine whether the policies and anti-bribery controls, implemented as part of an ISO 37001-based ABMS, are effective. This training course aims to help you complete these tasks successfully and intends to reflect the importance of effective ABMS audits. Additionally, it aims to strengthen your knowledge and skills to plan and carry out ABMS audits in compliance with the guidelines for auditing management systems provided in ISO 19011 and the certification process described in ISO/IEC 17021-1.



## Why is this training course more desirable than the others?

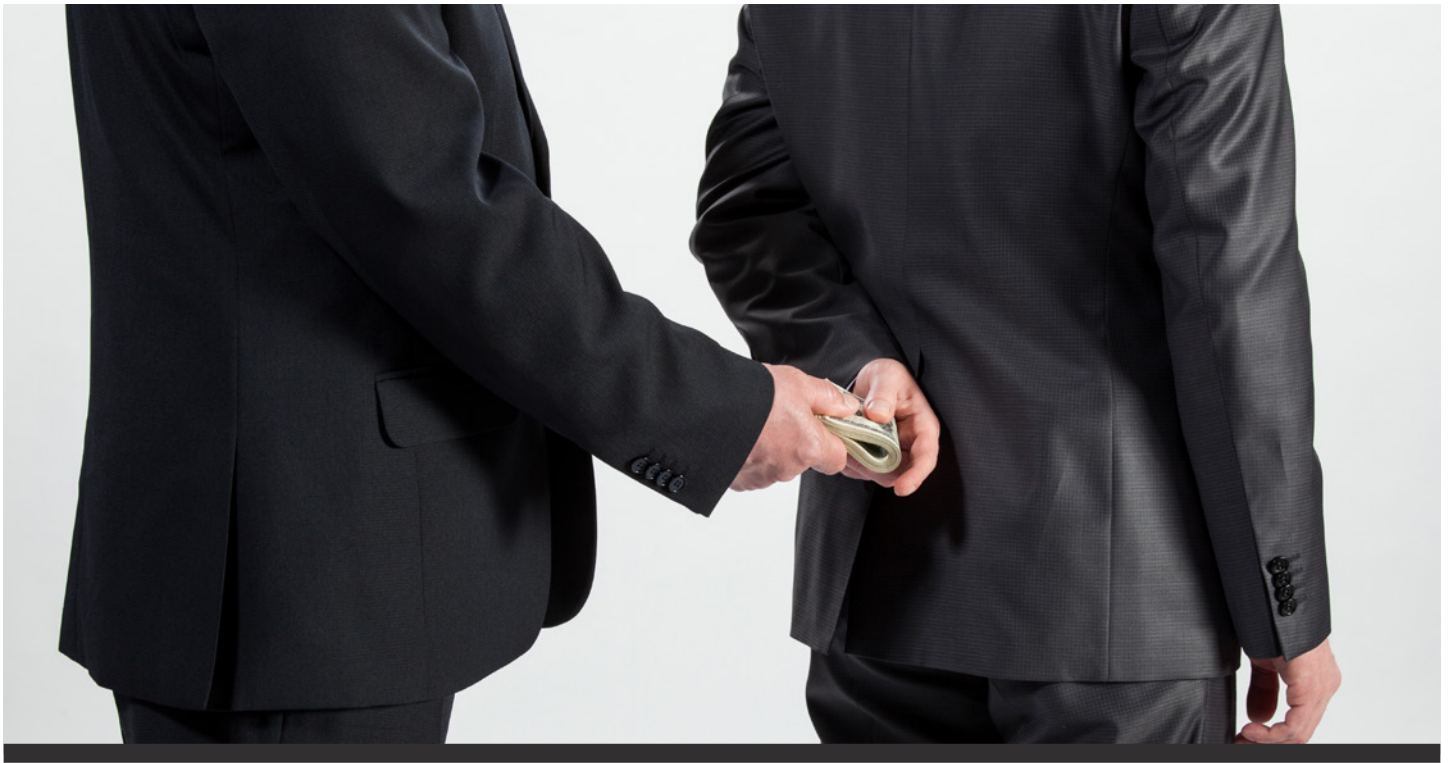
This course merges theory with practice to facilitate learning and understanding not just of auditing in general, but auditing an ABMS in particular. The general auditing knowledge and best practices are provided by well-known and well-established auditing standards that are applied worldwide.

The exercises, quizzes, and case studies provided are designed to help you practice the most important aspects of an ABMS audit: ISO 37001 requirements, auditing principles, tools and techniques used to obtain evidence, leading a team of auditors, conducting interviews with auditee, reviewing documented information, drafting nonconformity reports, and preparing the final audit report.

## What will the certification allow you to do?

The successful completion of the training course is followed by an exam. If you pass the exam, you can apply for the “PECB Certified ISO 37001 Lead Auditor” credential. An **IAS accredited** and internationally recognized “PECB ISO 37001 Lead Auditor” certificate validates your professional capabilities and demonstrates your ability to audit an ABMS based on ISO 37001.

Certification is the formal recognition and proof of knowledge which carries an important weight when you are entering the labor market, or when you want to advance in your career. A certified ABMS auditor will be able to audit an ABMS, serve as an audit team leader, or provide consultancy services in some cases for the improvement of the ABMS.



## Who should attend this training course?

The ISO 37001 Lead Auditor training course is intended for:

- Auditors seeking to perform and lead ABMS audits
- Managers or consultants seeking to master the ABMS audit process
- Individuals responsible for maintaining conformity to ISO 37001 requirements in an organization
- Technical experts seeking to prepare for an ABMS audit
- Expert advisors in anti-bribery management

## Course agenda

Duration: 5 days

### Day 1 | Introduction to the anti-bribery management system (ABMS) and ISO 37001

- Training course objectives and structure
- Standards and regulatory frameworks
- Certification process
- Fundamental concepts and principles of anti-bribery
- Anti-bribery management system

### Day 2 | Audit principles and the preparation for and initiation of an audit

- Fundamental audit concepts and principles
- The impact of trends and technology in auditing
- Evidence-based auditing
- Risk-based auditing
- Initiation of the audit process
- Stage 1 audit

### Day 3 | On-site audit activities

- Preparing for stage 2 audit
- Stage 2 audit
- Communication during the audit
- Audit procedures
- Creating audit test plans

### Day 4 | Closing of the audit

- Drafting audit findings and nonconformity reports
- Audit documentation and quality review
- Closing of the audit
- Evaluation of action plans by the auditor
- Beyond the initial audit
- Managing an internal audit program
- Closing of the training course

### Day 5 | Certification exam



## Learning objectives

By the end of this training course, the participants will be able to:

- Explain the fundamental concepts and principles of an anti-bribery management system (ABMS) based on ISO 37001
- Interpret the ISO 37001 requirements for an ABMS from the perspective of an auditor
- Evaluate the ABMS conformity to ISO 37001 requirements, in accordance with the fundamental audit concepts and principles
- Plan, conduct, and close an ISO 37001 compliance audit, in accordance with ISO/IEC 17021-1 requirements, ISO 19011 guidelines, and other best practices of auditing
- Manage an ISO 37001 audit program

## Examination

Duration: 3 hours

The PECB Certified ISO 37001 Lead Auditor exam fully meets the requirements of the PECB Examination and Certification Program (ECP). It covers the following competency domains:

**Domain 1** | Fundamental principles and concepts of an anti-bribery management system

**Domain 2** | Anti-bribery management system requirements

**Domain 3** | Fundamental audit concepts and principles

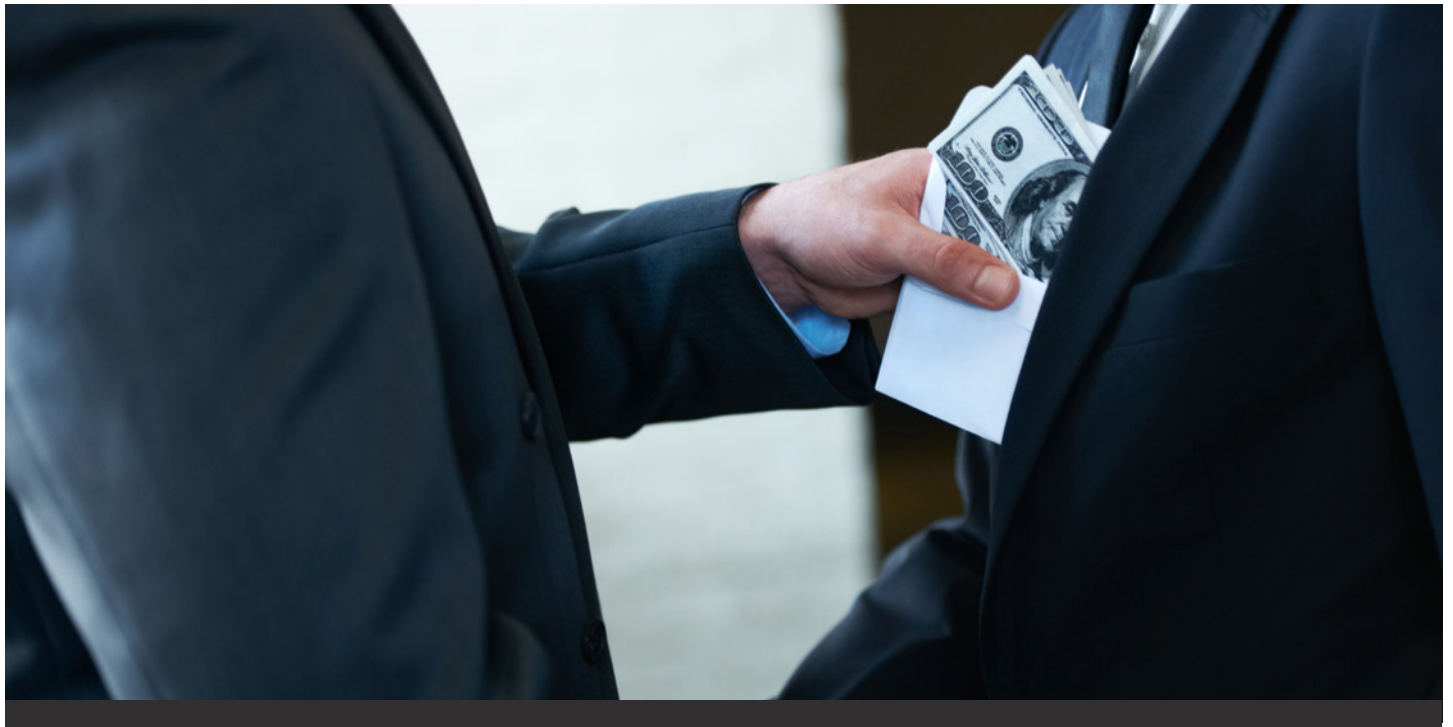
**Domain 4** | Preparing an ISO 37001 audit

**Domain 5** | Conducting an ISO 37001 audit

**Domain 6** | Closing an ISO 37001 audit

**Domain 7** | Managing an ISO 37001 audit program

For specific information about exam type, languages available, and other details, please visit the [List of PECB Exams](#) and the [Examination Rules and Policies](#).



## Certification

Upon the successful completion of the exam, you can apply for one of the credentials shown in the table below. You will receive a certificate once you fulfill all the requirements related to the selected credential.

For more information about the ISO 37001 certifications and the PECB certification process, please refer to the [Certification Rules and Policies](#).

| Credential                                   | Exam                                                      | Professional experience                              | MS audit/assessment experience           | Other requirements              |
|----------------------------------------------|-----------------------------------------------------------|------------------------------------------------------|------------------------------------------|---------------------------------|
| PECB Certified ISO 37001 Provisional Auditor | PECB Certified ISO 37001 Lead Auditor exam, or equivalent | None                                                 | None                                     | Signing the PECB Code of Ethics |
| PECB Certified ISO 37001 Auditor             | PECB Certified ISO 37001 Lead Auditor exam, or equivalent | Two years, of which one in anti-bribery management   | At least 200 hours of audit activities   | Signing the PECB Code of Ethics |
| PECB Certified ISO 37001 Lead Auditor        | PECB Certified ISO 37001 Lead Auditor exam, or equivalent | Five years, of which two in anti-bribery management  | At least 300 hours of audit activities   | Signing the PECB Code of Ethics |
| PECB Certified ISO 37001 Senior Lead Auditor | PECB Certified ISO 37001 Lead Auditor exam, or equivalent | Ten years, of which seven in anti-bribery management | At least 1,000 hours of audit activities | Signing the PECB Code of Ethics |

**Note:** PECB certified individuals who possess Lead Implementer and Lead Auditor credentials are qualified for the respective **PECB Master** credential, given that they have taken four additional Foundation exams related to this scheme. More detailed information about the Foundation exams and the Master credential requirements can be found [here](#).

## General information

- Certification and examination fees are included in the price of the training course.
- PECB will provide training material of over 450 pages of information and practical examples.
- An Attestation of Course Completion worth 31 CPD (Continuing Professional Development) credits will be issued to participants who have attended the training course.
- In case of exam failure, the candidate can retake the exam once for free within 12 months following the initial exam date.